

1 Adopt 17 Cal. Code of Regs. section 100060 to read:

2 **§ 100060. SCRO Committee Membership and Function.**

3 (a) A SCRO committee shall be comprised of persons with expertise in, including but
4 not limited to, developmental biology, stem cell research, molecular biology, assisted
5 reproduction, and ethical issues in stem cell research. A SCRO committee shall include at least
6 one non-scientist member of the public who is not employed by, ~~or~~ appointed to, or remunerated
7 by the relevant research institution ~~and who is not part of the immediate family of a person who~~
8 ~~is affiliated with the~~ institution. ~~In addition, a SCRO committee shall include at least one patient~~
9 ~~advocate.~~ Any member of a SCRO committee member may be reimbursed ~~for permissible~~
10 ~~expenses, as defined in Title 17, California Code of Regulations, section 100020, subdivision~~
11 ~~(h) for reasonable out-of-pocket expenses for attending the meeting, not including lost wages. ~~In~~~~
12 ~~addition, a SCRO committee shall include at least one patient advocate.~~ No SCRO committee
13 may have a member participate in the SCRO committee's initial or continuing review of any
14 project in which the member has a ~~professional or financial stake a conflicting of interest,~~ except
15 to provide information to the ~~SCRO committee-IRB.~~

16 (b) The designated SCRO committee shall provide scientific and ethical review of
17 CIRM-funded research consistent with the requirements of Section 100070 and other applicable
18 CIRM requirements.

19 (c) The SCRO committee shall facilitate education of investigators with applicable
20 requirements of this chapter.

21 (d) A SCRO committee may provide oversight for two or more funded research
22 institutions, provided the SCRO committee has oversight authority consistent with the

1 requirements of this chapter.

2 (e) A SCRO committee may be convened by an institution, a group of institutions, the

3 CIRM or other state agency.

4 Note: Authority cited: California Constitution, article XXXV; Section 125290.40, subd.(j).

5 Health and Safety Code.

6 Reference: Sections 125290.35, 125290.40, 124290.55, Health and Safety Code.

7 Adopt 17 Cal. Code of Regs. section 100070 to read:

1 **§ 100070. SCRO Committee Review and Notification.**

2 (a) CIRM-funded research involving the procurement or use of human oocytes may not
3 commence without SCRO committee review and approval in writing. For such SCRO
4 committee review and approval, ~~the a~~ member of the committee with expertise in assisted
5 reproduction shall be present. The designated SCRO committee may require that modification be
6 made to proposed research or documentation of compliance with the requirements of subdivision
7 (a)(3) of this regulation as a condition of granting its approval. At a minimum, the SCRO
8 committee shall require the investigator to:

9 (1) Provide an acceptable scientific rationale for the need to use oocytes
10 including a justification for the number needed. If SCNT is proposed a justification for
11 SCNT shall be provided.

12 (2) Demonstrate experience, expertise or training in derivation or culture of
13 human or nonhuman stem cell lines.

14 (3) Provide documentation of compliance with any required review of the
15 proposed research by an IRB, Institutional Animal Care and Use Committee (IACUC),
16 Institutional Bioethics Committee (IBC), or other mandated review.

17 (b) CIRM-funded research involving use of human embryos may not commence without
18 SCRO committee review and approval in writing. ~~For such SCRO committee review and~~
19 ~~approval, the member of the committee with expertise in assisted reproduction shall be present.~~
20 The designated SCRO committee may require that modification be made to proposed research or
21 documentation of compliance with the requirements of subdivision (b)(3) of this regulation as a
22 condition of granting its approval. At a minimum, the SCRO committee shall require the

1 investigator to:

2 (1) Provide an acceptable scientific rationale for the need to use embryos
3 including a justification for the number needed.

4 (2) Demonstrate experience, expertise or training in derivation or culture of
5 human or nonhuman stem cell lines.

6 (3) Provide documentation of compliance with any required review of the
7 proposed research by an IRB, Institutional Animal Care and Use Committee (IACUC),
8 Institutional Bioethics Committee (IBC), or other mandated review.

9 (c) CIRM-funded research with the aim to derive or create a covered stem cell line may
10 not commence without SCRO committee review and approval in writing. The designated SCRO
11 committee may require that modification be made to proposed research or documentation of
12 compliance with the requirements of subdivision (c)(4) of this regulation as a condition of
13 granting its approval. At a minimum, the SCRO committee shall require the investigator to:

14 (1) Provide an acceptable scientific rationale for the need to derive a covered
15 stem cell line.

16 (2) If SCNT is proposed as a route to generating human stem cell lines, a
17 justification for SCNT shall be provided.

18 (3) Demonstrate experience, expertise or training in derivation or culture of
19 human or nonhuman stem cell lines.

20 (4) Provide documentation of compliance with any required review of the
21 proposed research by an IRB, Institutional Bioethics Committee (IBC), or other
22 mandated review.

1 (5) Document how stem cell lines will be characterized, validated, stored, and distributed
2 to ensure that the confidentiality of the donor(s) is protected.

3 (d) CIRM-funded purely in vitro research utilizing covered stem cell lines may not
4 commence without written notification to the designated SCRO committee. At a minimum, the
5 notification shall:

6 (1) Provide assurance that all covered stem cell lines have been acceptably
7 derived.

8 (2) Provide documentation of compliance with any required review of the
9 proposed research by an IRB, IACUC, IBC, or other mandated review.

10 (e) CIRM-funded research introducing covered stem cell lines into non-human animals
11 or introducing neural-progenitor cells into the brain of non-human animals at any state of
12 embryonic, fetal, or postnatal development may not commence without SCRO committee review
13 and approval in writing. The designated SCRO committee may require that modification be
14 made to proposed research or documentation of compliance with the requirements of subdivision
15 (e)(3) of this regulation as a condition of granting its approval. The SCRO committee may
16 establish guidelines and procedures for expedited review of animal research so that review by the
17 entire SCRO committee is not required. At a minimum, the SCRO committee shall require the
18 investigator to:

19 (1) Provide an acceptable scientific for rationale for introducing stem cells into
20 non-human animals.

21 (2) Provide assurance that all covered stem cell lines have been acceptably
22 derived.

1 (3) Evaluate the probable pattern and effects of differentiation and integration of
2 the human cells into the nonhuman animal tissues.

3 (4) Provide documentation of compliance with any required review of the
4 proposed research by an IRB, IACUC, IBC, or other mandated review.

5 (f) CIRM-funded research introducing stem cells from covered stem cell lines into a live
6 born human may not commence without SCRO committee review and approval in writing. The
7 designated SCRO committee may require that modification be made to proposed research or
8 documentation of compliance with the requirements of subdivision (f)(4) of this regulation as a
9 condition of granting its approval. At a minimum, the SCRO committee shall require the
10 investigator to:

11 (1) Provide an acceptable scientific for rationale introducing stem cells into
12 humans.

13 (2) Provide assurance that all covered stem cell lines have been acceptably
14 derived.

15 (3) Evaluate the probable pattern and effects of differentiation and integration of
16 the human cells into the human tissues.

17 (4) Provide documentation of compliance with any required review of the
18 proposed research by an IRB, IACUC, IBC, or other mandated review.

19 ~~(g) Investigators are entitled to reconsideration of a SCRO committee decision. Requests~~
20 ~~must be made in writing and include a summary of the basis for the reconsideration.~~
21 ~~Investigators are entitled to be present in order to provide information and responses during the~~
22 ~~reconsideration. In cases where SCRO committee approval is required, a SCRO committee shall~~

notify investigators in writing of its decision to approve or disapprove the proposed research activity, or of modifications required to secure SCRO committee approval of the research activity. If the SCRO committee decides to disapprove a research activity, it shall include in its written notification a statement of the reasons for its decision and give the investigator an opportunity to respond in person or in writing.

(h) SCRO committee approvals shall be reviewed no less frequently than once per year.

The renewal review shall confirm compliance with all applicable rules and regulations. The SCRO committee may establish guidelines and procedures for expedited review of renewals so that review by the entire SCRO committee is not required.

Note: Authority cited: California Constitution, article XXXV; Section 125290.40, subd.(j), Health and Safety Code.

Reference: Sections 125290.40, 124290.55, Health and Safety Code.

1 **§ 100095. Additional Requirements for CIRM-Funded Research Involving Oocytes.**

2 When procurement of oocytes are required for derivation CIRM-funded research, the
3 SCRO committee must confirm the following conditions have been met:

4 (a) The clinic performing oocyte retrieval is a member of the Society for Assisted
5 Reproductive Technology.

6 ~~(b) For a woman providing oocytes for research and clinical infertility treatment (either~~
7 ~~for herself or another woman), the disposition of such oocytes shall not knowingly compromise~~
8 ~~the optimal reproductive success of the woman in infertility treatment.~~

9 ~~(1) A woman providing oocytes for her own reproductive uses may not donate~~
10 ~~any eggs to research unless she has determined that she does not want or need them to~~
11 ~~optimize her own chances for reproductive success.~~

12 ~~(2) A woman providing oocytes for donation to another person's reproductive~~
13 ~~efforts may not donate any of these eggs to research unless (a) the donation is expressly~~
14 ~~permitted by the recipient who is receiving her oocytes for reproduction and (b) her~~
15 ~~donation of oocytes for research is done without valuable consideration.~~

16 ~~(b) For oocytes provided for reproductive uses, either for use by the donor or another~~
17 ~~woman, the disposition of oocytes shall not knowingly compromise the optimal reproductive~~
18 ~~success of the woman in infertility treatment.~~

19 ~~(1) Oocytes provided by a woman for her own reproductive uses may not be~~
20 ~~donated to research unless (a) the woman has determined that she does not want or need~~
21 ~~them for her own reproductive success, and (b) the donation of oocytes for research is~~
22 ~~done without valuable consideration.~~

1 (2) Oocytes provided by a donor for a recipient's reproductive use may not be
2 donated to research unless: (a) the donation is expressly permitted by the oocyte donor;
3 (b) the recipient has determined that she does not want or need them for her own
4 reproductive success; and (c) the donation of oocytes for research is done without
5 valuable consideration.

6 (c) The CIRM-funded institution shall develop procedures to ensure that an individual
7 who donates oocytes for CIRM-funded research has access to medical care ~~at no cost to the~~
8 ~~donor~~ that is required as a direct and proximate result of that donation ~~at no cost to the donor.~~ If
9 a donor is medically insured, the donor shall not be required to claim any treatment costs through
10 her own insurance policy.

11 (d) The physician attending to any donor and the principal investigator shall not be the
12 same person unless exceptional circumstances exist and an IRB has approved an exemption from
13 this requirement.

14 (e) The physician performing oocyte retrieval shall not have a financial interest in the
15 outcome of the research.

16 Note: Authority cited: California Constitution, article XXXV; Section 125290.40, subd.(j),
17 Health and Safety Code.

18 Reference: Sections 125290.35, 125290.40, 124290.55, Health and Safety Code.

1 Adopt 17 Cal. Code of Regs. section 100100 to read:

2 **§ 100100. Informed Consent Requirements.**

3 (a) All CIRM-funded human subjects research shall be performed in accordance with
4 Title 45 Code of Federal Regulations, Part 46 (Protection of Human Subjects), revised June 23,
5 2005, and California Health and Safety Code section 24173. In accordance with existing law,
6 California Health and Safety Code section 24173 does not apply to a person who is conducting
7 research as an investigator within an institution that holds an assurance with the United States
8 Department of Health and Human Services pursuant to Title 45 Code of Federal Regulations Part
9 46, revised June 23, 2005, and who obtains informed consent in the method and manner required
10 by those regulations.

11 (b) In addition to the requirements of 17 California Code of Regulations Section 100080,
12 the following provisions apply when CIRM funded research involves donation of gametes,
13 embryos, somatic cells or human tissue or derivation of new covered stem cell lines which
14 donation or derivation occurs after the effective date of this Chapter:

15 (1b) CIRM-funds may not be used for research that violates the documented preferences
16 of donors with regard to the use of their donated materials. The SCRO committee or IRB must
17 confirm that donors of gametes, embryos, somatic cells or human tissue to be used to derive stem
18 cell lines have given voluntary and informed consent in accordance with this section. To ensure
19 donors are fully informed of the potential uses of donated materials, researchers shall disclose, in
20 addition to the general requirements for obtaining informed consent identified in subdivision (a)
21 of this regulation, all of the following, unless a specific item has been determined by the SCRO
22 committee or IRB to be inapplicable.

1 (A1) Derived cells or cell products may be kept for many years.

2 (B2) Whether the identity(ies) of the donor(s) will be ascertainable to those who
3 work with the resulting cells or cell products. If the identity(ies) of the donor(s) are
4 retained (even coded), CIRM-funded researchers must discuss any plans for recontact of
5 donors of materials used to derive cell lines and obtain consent for recontact. This
6 requirement includes both recontacting donors to provide information about research
7 findings and ~~recontacting donors~~ to ask for additional health information. ~~Donors may be~~
8 Recontact ~~in the future only may only occur~~ if ~~they the donor~~ consents ~~to recontact~~ at the
9 time of donation.

10 (C3) Researchers may use cell lines for future studies, some of which may not be
11 predictable at this time.

12 (D4) Derived cells or cell products may be used in research involving genetic
13 manipulation.

14 (E5) Derived cells or cell products may be transplanted into humans or animals.

15 (F6) Derived cells or cell products are not intended to provide direct medical
16 benefit to the donor(s), except in the case of autologous donation.

17 (G7) The donation is being made without restriction regarding who may be the
18 recipient of transplanted cells, except in the case of autologous donations.

19 (H8) That neither consenting nor refusing to donate materials for research will
20 affect the quality of any future care provided to potential donors.

1 (9I) That the results of research may be patentable or have commercial potential,
2 and that the donor will not receive patent rights and will not receive financial or any
3 other benefits from future commercial development.

4 (2e) Researchers shall offer donors an opportunity to document their preferences
5 regarding future uses of their donated materials. Researchers may choose to use materials only
6 from donors who agree to all future uses.

7 (3d) For CIRM-funded research involving the donation of oocytes, the following
8 additional requirements apply:

9 (A+) The description of foreseeable risk shall include but not be limited to
10 information regarding the risks of ovarian hyperstimulation syndrome, bleeding,
11 infection, anesthesia and pregnancy.

12 (B2) The physician must disclose his or her relationship to the research or
13 researcher(s) to the egg donor.

14 ~~(3) Prospective donors shall be informed of their option to deliberate before~~
15 ~~deciding whether or not to give consent. If a deliberation period is chosen, the~~
16 ~~researchers may not re-contact the prospective donor about the consent decision.~~

17 ~~(C3) Prospective donors shall be informed of their option to deliberate before~~
18 ~~deciding whether or not to give consent. If a deliberation period is chosen, the donor~~
19 ~~shall be informed of their right to determine the method of recontact. The donor must be~~
20 ~~informed that they have the option to initiate recontact. The investigators shall not~~
21 ~~initiate recontact unless the donor has consented, and this consent is documented in the~~
22 ~~research record.~~

1 (D4) The researcher shall ascertain that the donor has understood the essential
2 aspects of the research, following a process approved by the designated IRB or SCRO
3 committee. Understanding the essential aspects of the research includes understanding at
4 least that:

5 (iA) Their eggs will not be used for reproductive purposes.

6 (iiB) There are medical risks in oocyte donation, including the risks of ovarian
7 hyperstimulation syndrome, bleeding, infection, anesthesia, and pregnancy.

8 (iiiC) The research will not benefit them or any other individuals directly at this
9 time.

10 (ivD) Whether stem cell lines will be derived from their oocytes through
11 fertilization, SCNT, parthenogenesis, or some other method.

12 (vE) Stem cell lines developed from their oocytes will be grown in the lab and
13 shared with other researchers for studies in the future.

14 (viF) If stem cells are to be transplanted into patients, researchers might recontact
15 the donor to get additional health information.

16 (viiG) Donors receive no payment beyond reimbursement for permissible
17 expenses.

18 (viiiH) Stem cell lines derived as a result of their oocyte donation may be
19 patented or commercialized, but donors will not share in patent rights or in any revenue
20 or profit from the patents.

1 (5e) For CIRM-funded research involving the donation ~~and destruction~~ of embryos for
2 stem cell research, the informed consent process shall include a statement that embryos will be
3 destroyed in the process of deriving embryonic stem cells.

4 ~~(f) For CIRM-funded research that uses umbilical cord, cord blood or the placenta for~~
5 ~~autologous donation or for purposes other than derivation of covered stem cell lines, consent~~
6 ~~shall be obtained from the woman giving birth. For CIRM-funded research that uses umbilical~~
7 ~~cord, cord blood or the placenta to derive covered stem cell lines for purposes other than~~
8 ~~autologous donation, in order to assure scientific rigor, consent shall be obtained from each legal~~
9 ~~parent, guardian and genetic parent. Nothing in this section shall be construed to affect state or~~
10 ~~federal law with regard to consent in reproductive decision making.~~

11 ~~(g) For purposes of this regulation, “genetic parent” means the person who provided the~~
12 ~~sperm or ovum for fertilization.~~

13 ~~(6) For CIRM-funded research that uses the umbilical cord, cord blood or the placenta,~~
14 ~~consent shall be obtained from the birth mother.~~

15 (7h) For CIRM-funded research involving the donation of somatic cells for SCNT,
16 informed consent shall include a statement as to whether the donated cells may be available for
17 autologous treatment in the future.

18 Note: Authority cited: California Constitution, article XXXV; Section 125290.40, subd.(j),
19 Health and Safety Code.

20 Reference: Sections 24173, 125290.35, 125290.40, 124290.55, 125315, Health and Safety Code.